

## Three scales of temporal resolution from automated soil respiration measurements

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### ABSTRACT

Soil respiration ( $R_s$ ) is a combination of autotrophic and heterotrophic respiration, but it is often modeled as a single efflux process, influenced by environmental variables similarly across all time scales. Continued progress in understanding sources of variation in soil  $\text{CO}_2$  efflux will require development of  $R_s$  models that incorporate environmental influences at multiple time scales. Coherence analysis, which requires high temporal frequency data on  $R_s$  and related environmental variables, permits examination of covariation between  $R_s$  and the factors that influence it at varying temporal frequencies, thus isolating the factors important at each time scale. Automated  $R_s$  measurements, along with air, soil temperature and moisture were collected at half hour intervals at a temperate forest at Harvard Forest, MA in 2003 and a boreal transition forest at the Howland Forest, ME in 2005. As in other temperate and boreal forests, seasonal variation in  $R_s$  was strongly correlated with soil temperature. The organic and mineral layer water contents were significantly related to  $R_s$  at synoptic time scales of 2–3 days to weeks, representing the wetting and drying of the soils as weather patterns move across the region. Post-wetting pulses of  $R_s$  were correlated with the amount of precipitation and the magnitude of the change from pre-wet-up moisture content to peak moisture content of the organic horizon during the precipitation events. Although soil temperature at 8–10 cm depth and  $R_s$  showed strong coherence at a 24-h interval, calculated diel  $Q_{10}$  values for  $R_s$  were unreasonably high (6–74) during all months for the evergreen forest and during the growing season for the deciduous forest, suggesting that other factors that covary with soil temperature, such as canopy assimilatory processes, may also influence the diel amplitude of  $R_s$ . Lower diel  $Q_{10}$  values were obtained based on soil temperature measured at shallower depths or with air temperature, but the fit was poorer and a lag was needed to improve the fit (peak  $R_s$  followed peak air temperature by several hours), suggesting a role for delayed substrate supply from aboveground processes to affect diel patterns of  $R_s$ . High frequency automated  $R_s$  datasets afford the opportunity to disentangle the temporal scales at which environmental factors, such as seasonal temperature and phenology, synoptic weather events and soil moisture, and diel variation in temperature and photosynthesis, affect soil respiration processes.

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## 1. Introduction

The increasing concentrations of atmospheric carbon dioxide and other greenhouse gases are primary contributors to observed increases in global temperature. These changes are likely to alter primary productivity, autotrophic respiration, and heterotrophic respiration, and the net carbon balance of ecosystems will depend on how each of these processes responds to changes in climate (Denman et al., 2007). Soil respiration ( $R_s$ ), a combination of autotrophic (root respiration,  $R_{\text{root}}$ ) and heterotrophic (microbial

respiration,  $R_{\text{micro}}$ ) respiration, is a major carbon flux from the terrestrial biosphere to the atmosphere.  $R_s$  is primarily correlated to temperature and precipitation (via soil moisture), although there is also a growing recognition that primary productivity and substrate supply (both in terms of amount and quality) are critical drivers of  $R_s$  (Davidson et al., 2006a).

A number of studies have examined the relationship between temperature and  $R_s$  on diel and seasonal scales (Xu and Qi, 2001; Irvine and Law, 2002; Janssens and Pilegaard, 2003; Yuste et al., 2004; Gu et al., 2008). Empirical models of  $R_s$  are expressed as a scalar function of temperature, often represented by an exponential  $Q_{10}$  (van't Hoff) value (the scalar multiple by which  $R_s$  increases when temperature increases by 10 °C). However,  $R_s$  is a complex process, combining  $R_{\text{micro}}$  and  $R_{\text{root}}$ , each of which typically

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emanates from a variety of species and which may respond differently to temperature and other covarying environmental factors such as soil water content and substrate availability (Gu et al., 2008). Responses of  $R_{\text{micro}}$  and  $R_{\text{root}}$  are also likely to differ at diel and seasonal time scales. Hence deriving one  $Q_{10}$  function representative of  $R_s$  on all time scales is overly simplistic.

In past work, when the components of  $R_s$  have been distinguished, diel responses of  $R_{\text{micro}}$  were correlated with soil temperature but  $R_{\text{root}}$  was decoupled from soil temperature and significantly related to plant phenology (Yuste et al., 2004; Tang et al., 2005a; Liu et al., 2006).  $R_{\text{root}}$  has been found to derive its carbon source from stored carbohydrates in early spring and recent photosynthate in late spring (Cisneros-Dozal et al., 2006), likely indicating new root growth in late spring. As such, diel trends in  $R_s$  during this period maybe more strongly affected by aboveground processes than soil temperature. Significant relationships have been demonstrated between  $R_s$  and leaf area index (LAI) and photosynthetically active radiation (PAR), both indicators of photosynthetic activity (Yuste et al., 2004; Liu et al., 2006). However, the time required for recently assimilated carbon to travel from leaves to roots is not well known, and studies have found both a time lag and no time lag between aboveground processes and inferred  $R_{\text{root}}$  (Davidson and Holbrook, 2009; Tang et al., 2005a; Lui et al., 2006; Gaumont-Guay et al., 2008).

The response of  $R_s$  to soil moisture is more complex and often confounded with its relationship to temperature. Soils of many temperate forests tend to dry out as evapotranspiration exceeds precipitation during summer months, thus confounding the effects of temperature on  $R_s$  (Davidson et al., 1998). Under drought conditions, water stress significantly decreases rates of  $R_s$  over weeks and months as soils gradually dry down (Savage and Davidson, 2001; Borken et al., 2006), primarily because of a decrease in  $R_{\text{micro}}$  (Cisneros-Dozal et al., 2006).

Increases in soil moisture generally result in increased  $R_s$  due to a combination of reduced microbial water stress, release of substrates from turnover of microbial biomass, and greater mobility of available substrate through soil water films (Birch, 1958; Bottner, 1985; Kieft et al., 1987; Xu et al., 2004). This response has been observed to be rapid (minutes to hours) but variable in duration (influence lasting hours to days) and magnitude (Irvine and Law, 2002; Borken et al., 2003). The magnitude and timing of pulse events can significantly influence the annual carbon budget, accounting for up to 11% of the observed seasonal flux (Lee et al., 2004; Tang et al., 2005b). The proportion of  $R_s$  derived from either  $R_{\text{root}}$  or  $R_{\text{micro}}$  differs under differing moisture conditions (Borken et al., 2006). Cisneros-Dozal et al. (2006) found that decomposition of leaf litter, an  $R_{\text{micro}}$  component of  $R_s$ , varied with moisture status, and changes in leaf litter moisture primarily drove the variability in total  $R_s$  fluxes, accounting for 1% under dry conditions and up to 42% of total  $R_s$  under wet conditions.

The objective of this study is to examine the response of  $R_s$  to changes in temperature and moisture at seasonal, diel and synoptic scales. Using high temporal frequency measurements of  $R_s$ , temperature, and moisture, we differentiate the effects of climatic variables at each temporal scale.

## 2. Methods

### 2.1. Study site

$R_s$  was measured at the Harvard Forest near Petersham, Massachusetts USA (42°32N, 72°11W), and at the Howland Forest, near Howland Maine, USA (45°12N, 68°44W). Data presented here for Harvard Forest were collected from May 17th through November 11th, 2003, from a well drained mixed hardwood forest, approximately 70 years old. The dominant tree species is red

oak. Soils are classified as Canton fine sandy loam, Typic Distrochrepts. Due to agricultural use in the 19th century, the upper mineral soil is partially disturbed. The mean annual temperature is +8.5 °C and the mean annual precipitation is 1050 mm. The precipitation total for 2003 was 1311 mm, approximately 25% greater than the annual average. See Compton and Boone (2000) and Savage and Davidson (2001) for further descriptions.

Data presented here for Howland were collected from May 4th through November 3rd, 2005, and are from a mature boreal transition forest dominated by red spruce and eastern hemlock stands that are at least 160-year old. The soils have never been cultivated and are classified as Skerry fine sandy loam, Aquic Haplorthods. The mean annual temperature is +5.5 °C, and the mean annual precipitation is 1000 mm. The precipitation total for 2005 was 1281 mm, 28% greater than the annual average. See Fernandez et al. (1993), Savage and Davidson (2001) and Hollinger et al. (2004) for further descriptions.

### 2.2. Automated measurements of $R_s$

The same automated  $R_s$  system and the same sampling strategies and protocols were used for both Harvard and Howland forests. Automated measurements of  $R_s$  were made at six chambers each of which was sampled once per half hour. For a description of the automated  $R_s$  system see Savage and Davidson (2003). Briefly, each chamber was active for a 5-min period over which time a vented chambertop would close onto the collar and a pump would circulate air from inside the chamber headspace to an infrared gas analyzer (IRGA-Licor 6252). A Campbell CR10X datalogger recorded the change in chamber headspace  $\text{CO}_2$  concentration. Air temperature and pressure were used to correct for the number of moles of  $\text{CO}_2$  in air. A linear regression was performed on the increasing chamber headspace concentration to determine a flux rate. About 50,000 flux measurements were obtained for each site. A series of protocols designed to efficiently isolate suspect fluxes was utilized to analyze the data for quality (for a complete description of protocols see Savage et al., 2008). Following the data quality procedures, the final dataset for Harvard consisted of 39,876  $R_s$  measurements and 43,673 for Howland.

### 2.3. Soil moisture, temperature and organic layer water content

At the Harvard Forest, soil pits were dug to a depth of 80 cm and Campbell Scientific Water Content Reflectometry probes (CS615) were installed at 4.5, 14, 36 and 61 cm. The 4.5 cm volumetric soil moisture (VSM,  $\text{cm}^3 \text{H}_2\text{O cm}^{-3}$  soil, Campbell Scientific supplied calibration equation) readings were used for subsequent analysis. Within this same pit, soil temperature was measured (type T-thermocouple) at 4.5, 8.5, 14 and 36 cm. Thirty-six DC-half bridges (for a description of DC-half bridge sensors see Borken et al., 2003) were used to measure the gravimetric water content ( $\text{g H}_2\text{O g}^{-1}$  - dry mass) of the organic layer. Briefly, the half bridge sensors are pieces of basswood (1.59 mm thick and  $9 \text{ cm}^2$ ), which mimic the wetting and drying of the leaf litter. Soil temperature, organic layer water content ( $O_{\text{WC}}$ ) and mineral layer VMS were measured at half hourly intervals and data were collected and stored on a Campbell Scientific CR10X datalogger.

At Howland, soil temperature was measured at 5.0, 10.0 and 20.0 depth using thermistor probes. These data we collected and maintained by the Agricultural Research Service (ARS). Data were available from <ftp://130.111.198.38/DataArchives>.  $O_{\text{WC}}$  was measured using 13 half bridge sensors inserted into the leaf litter layer, which consists primarily of conifer needles at Howland Forest. The VSM at the organic–mineral A interface (approximately 5–10 cm depth) was measured using Campbell Scientific Water Content

Reflectometry probes (CS616). Sampling frequency was half hourly and data were collected and stored on a Campbell Scientific CR10X.

#### 2.4. Meteorological measurements

At Harvard, air temperature and precipitation, were measured at hourly intervals by the Harvard Forest meteorological station (<http://harvardforest.fas.harvard.edu/data/p00/hf001/hf001.html>). This meteorological station is located approximately 1.6 km from the study site. Photosynthetically active radiation (PAR) was measured above canopy at an eddy covariance tower located within 300 m of our site (Steve Wofsy and William Munger, Harvard University, Urbanski et al., 2007, [ftp://ftp.as.harvard.edu/pub/nigec/HU\\_Wofsy/hf\\_data/](ftp://ftp.as.harvard.edu/pub/nigec/HU_Wofsy/hf_data/)).

At Howland, air temperature was measured concurrently with the automated  $R_s$  system. PAR values were collected above canopy from the main tower (<ftp://epg-ftp.umaine.edu/>).

#### 2.5. Statistical analysis

Half hourly averages of the six automated  $R_s$  chambers were used for all modeling. Systat Version 10 and Microsoft Excel were used for all statistical analysis.

The model form used for the  $R_s$  and temperature relationship is the exponential  $Q_{10}$  function (Eq. (1))

$$R_s = R_{\text{ref}} Q_{10}^{(T_s - 10)/10} \quad (1)$$

Models used to subsequently fit observed  $R_s$  data to temperature and moisture parameters (Eqs. (2) and (3)) are listed below. Discussion of model fit follows in Section 3.5.

$$R_s = (R_{\text{ref}} Q_{10}^{(T_s - 10)/10}) \beta_1^{(O_{\text{WC-opt}} - O_{\text{WC}})^2} \quad (2)$$

$$R_s = (R_{\text{ref}} Q_{10}^{(T_s - 10)/10}) \beta_1^{(O_{\text{WC-opt}} - O_{\text{WC}})^2} + a \bar{T}_s \left( \sin \left( \frac{2\pi T_d}{2400} \right) + c \right) \quad (3)$$

where  $T_s$  is half hourly soil temperature ( $^{\circ}\text{C}$ ),  $R_{\text{ref}}$  is  $R_s$  at  $10^{\circ}\text{C}$  ( $\text{mg C m}^{-2} \text{ h}^{-1}$ ),  $Q_{10}$  is a unitless expression of the increase in  $R_s$  for each increase in  $10^{\circ}\text{C}$ .  $O_{\text{WC}}$  is organic layer water content ( $\text{g H}_2\text{O g}^{-1}$  dry weight),  $\beta_1$  modifies the shape of the quadratic fit, and  $O_{\text{WC-opt}}$  is the optimal water content ( $\text{g H}_2\text{O g}^{-1}$  dry weight).  $\bar{T}_s$  is mean daily soil temperature ( $^{\circ}\text{C}$ , 8.5 cm for Harvard Forest and 10 cm for Howland Forest),  $a$  modifies the amplitude of the waveform,  $c$  modifies the phase of the waveform and  $T_d$  is time of day in military time.

#### 2.6. Model fitting procedures

$R_s$  and temperature model parameters are commonly fitted using an ordinary least squares (OLS) regression. With OLS the loss function minimizes the sum of the squared deviations. However using this method, outliers exert considerable and perhaps undue weight when fitting model parameters.

OLS and maximum likelihood optimization yield the same parameters when data errors are normally distributed and of constant variance (Press et al., 1993).  $R_s$  data error distributions for Harvard and Howland follow a double exponential, Laplace distribution, the variance of which increases with the  $R_s$  flux, and hence meet neither of the OLS assumptions (see Savage et al., 2008 for more detail). When errors violate the assumptions of a normal distribution and constant variance, then model parameters should be estimated using a maximum likelihood method. According to Press et al. (1993) this is achieved by minimizing a cost function ( $\Omega$ ), which is the equal to the sum, over all observations, of the absolute value of the data-model mismatch, weighted by the reciprocal of standard deviation of the estimated

data error (WAD), Eq. (4);

$$\Omega = \sum_{i=1}^n \frac{|y_i - y_{\text{pred}}|}{\sigma(\delta i)} \quad (4)$$

where  $y_i$  is the observed flux ( $\text{mg C m}^{-2} \text{ h}^{-1}$ ),  $y_{\text{pred}}$  is the predicted flux ( $\text{mg C m}^{-2} \text{ h}^{-1}$ ), and  $\sigma(\delta i)$  is a weighting function. The weighting function is based on the standard deviation of each observation measurement error (see Savage et al., 2008 for more detail). Briefly, following procedures proposed by Hollinger and Richardson (2005), a “paired observations” approach was used to infer the statistical properties of the random error,  $\varepsilon$ , from the difference,  $|R_{s,t=0} - R_{s,t=24}|/\sqrt{2}$ , between average half hourly measurements (across  $n = 6$  chambers) made exactly 24 h apart, and where no precipitation event occurred during that 24 h period nor within 48 h prior. The random error was characterized by an estimate of its standard deviation, and is used as the weighting function  $\sigma(\delta i)$  Eq. (5);

$$\sigma(\delta i) = 0.85 + 0.07R_s \quad (5)$$

This error equation requires an initial predicted  $R_s$  ( $\text{mg C m}^{-2} \text{ h}^{-1}$ ) measurement, which was determined from the predicted  $R_s$  from the  $Q_{10}$  function in Fig. 1 (OLS fit) for both Harvard and Howland forests. Using the predicted  $R_s$  (rather than the measured  $R_s$ ) allows for an uncertainty estimate that is independent of the actual error in the measured flux.

Model parameters were then optimized by minimizing Eq. (4) using the Gauss–Newton method in Systat 10. As can be seen from Fig. 1a, there is no difference in model fit between the OLS fit and WAD at the Harvard forests, however for Howland Fig. 1b, there is a difference between model fits at the warmer soil temperature range. All models used for subsequent analysis are fit using the WAD method.

Since the WAD method does not produce an  $R^2$  value, the method developed by Richardson et al., 2006a to derive a Figure of Merit  $R^2$  (FMR<sup>2</sup>) value (for a detailed description of the method see Richardson et al., 2006b) was used. Briefly, a within sample null model was produced using Eq (4), ( $\Omega_o$ ), where  $y_{\text{pred}}$  equals the mean  $R_s$  value for the dataset. The FMR<sup>2</sup> is then equal to  $1 - (\Omega/\Omega_o)$ . The FMR<sup>2</sup> value from the WAD model fitting procedure can be considered similar to the multiple correlation coefficient  $r^2$  from the OLS fitting procedure.

The 95% confidence intervals for model parameters which were fit using the WAD method, were calculated using 100 bootstrap parameter estimates (using SYSTAT 10.0) for each model (Eqs. (1)–(3)). The standard error of those 100 estimated parameters was used to determine the 95% confidence interval.

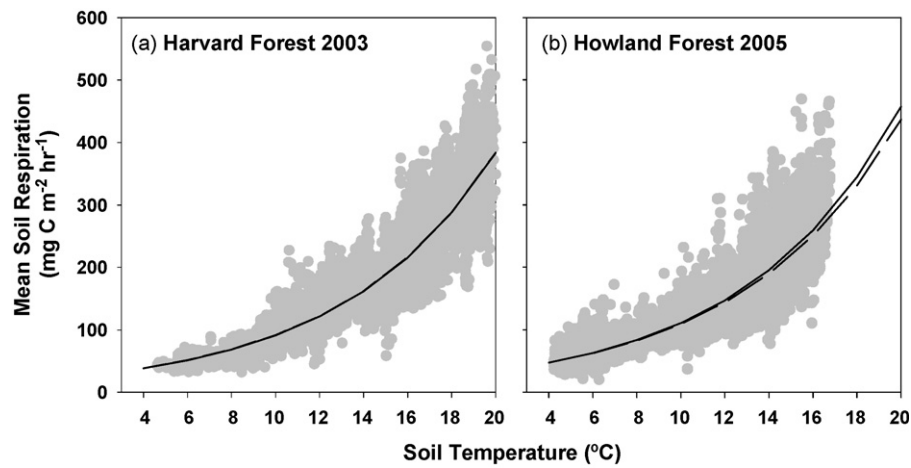
To determine if a statistically significant decrease in the  $\Omega$  value occurred from one model to the next, the  $\Omega$  value for 100 of the bootstrap parameters was calculated. These values were sorted and the 95th value was used to estimate the 95% confidence interval. Cost function value lower than the 95% confidence interval is considered a significant decrease in the  $\Omega$  function value from one model to the next.

#### 2.7. Coherence analysis

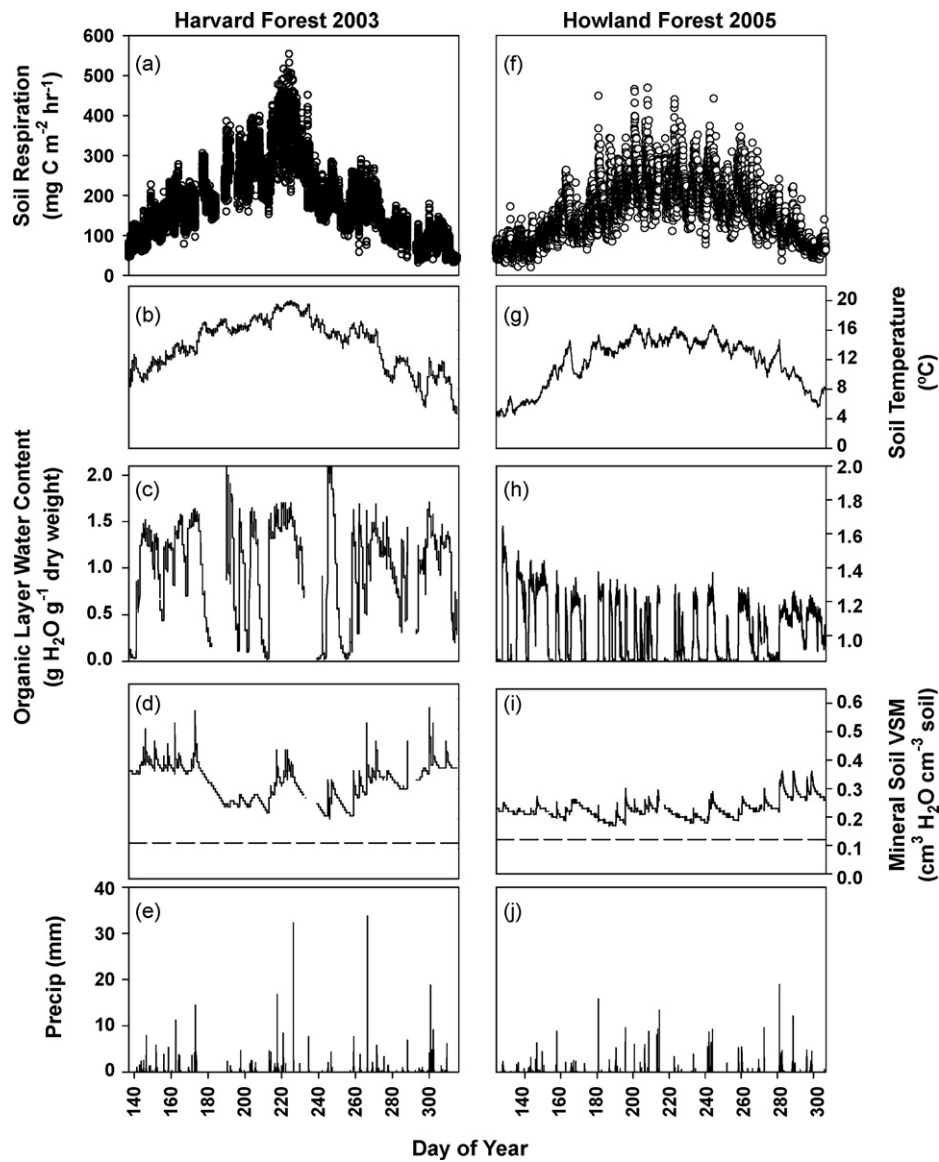
Coherence between two time series is akin to a correlation coefficient in the frequency domain, and is calculated as,

$$\text{Coh}_{xy}(\lambda) = \frac{|f_{xy}(\lambda)|^2}{[f_{xx}(\lambda) f_{yy}(\lambda)]}$$

where  $f_{xx}(\lambda)$  and  $f_{yy}(\lambda)$  are the power spectra of series  $x$  and  $y$ , and  $f_{xy}(\lambda)$  is the cospectrum of  $x$  and  $y$ .



**Fig. 1.** (a) Harvard Forest temperature model Eq. (1), solid gray line ordinary least squares (OLS) fit,  $R_{\text{ref}} = 90.8$ ,  $Q_{10} = 4.2$ ; dashed line weighted absolute deviations (WAD) fit,  $R_{\text{ref}} = 91.3$ ,  $Q_{10} = 4.2$ , lines overlap. (b) Howland Forest temperature model Eq. (1), solid line OLS fit,  $R_{\text{ref}} = 110.7$ ,  $Q_{10} = 4.1$ , dashed gray line WAD fit,  $R_{\text{ref}} = 108.8$ ,  $Q_{10} = 4.0$ .



**Fig. 2.** (a and f) mean 1/2 hourly  $R_s$  rates, (b and c) mean 1/2 hourly soil temperature at 8.5 cm depth Harvard and 10 cm depth Howland, (c and h) mean 1/2 hourly organic layer water content (d and i) mean 1/2 hourly volumetric soil moisture content, dashed line indicated drought level (e and j) hourly precipitation.



Coherence values range from 0 (absence of any linear correlation) to 1 (perfect correlation). Time series may be coherent at some frequencies but not at others.

Using a computer program developed by Carter and Ferrie (1979) the coherence between environmental variables and  $R_s$  measurements was examined. Average  $R_s$ , temperature and moisture variables over 6 h periods were calculated to produce a continuous dataset of 512 points between the period May 18–September 22, 2003 (127 days) for Harvard, and from May 4 – September 9, 2005 (127 days) for Howland, with  $R_s$  as one time series, and either soil temperature, VSM at 4.5 cm depth or  $O_{WC}$  as the other time series.

To determine the coherence value significance level at each frequency, a Monte Carlo technique was used in which 100 artificial  $R_s$  datasets, based on a normal distribution and defined by the mean and standard deviation of the entire observed  $R_s$  dataset, were produced. The observed soil temperature was used as the independent variable for each of the 100 artificial  $R_s$  datasets for this analysis of significance. The coherence values were calculated for each of the 100 artificial datasets. Each artificial dataset was sorted with the 95th-percentile coherence values used as a threshold value of significance.

### 3. Results and discussion

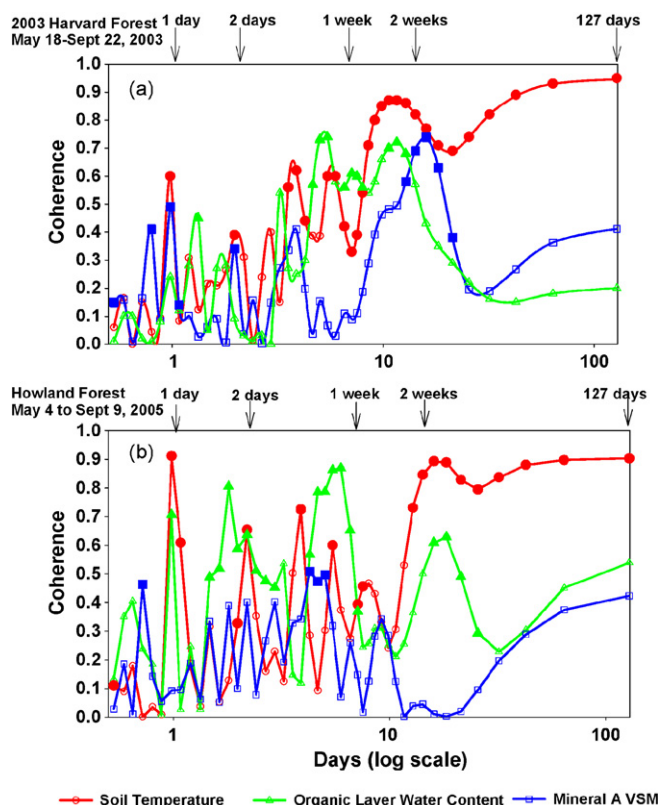
$R_s$  showed a strong seasonal trend, with increasing respiration as soil temperatures warm into the summer months, peaking in August, and decreasing as temperatures cool in the fall at both Harvard and Howland forests (Fig. 2a, b, f, g).  $R_s$  rates increased following precipitation events, but the magnitude and duration of those pulses varied throughout the season (Fig. 2a, c, e, f, h, j). Previous studies at these sites have demonstrated that soil moisture usually declines during the mid to late summer, with drought conditions identified when the mineral VSM decreases below  $0.12 \text{ g H}_2\text{O g}^{-1}$  soil (Savage et al., 2001). However, 2003 at the Harvard Forest and 2005 at the Howland Forest were unusually wet years, (see Section 2.1) and soil moisture in the mineral layer never fell below  $0.12 \text{ g H}_2\text{O g}^{-1}$  soil.

#### 3.1. Coherence analysis

Coherence analysis examines the correlations between factors that influence  $R_s$  at varying temporal frequencies, enabling the isolation of those factors at time scales of interest. At both Harvard and Howland forests,  $R_s$  rates showed a significant relationship with soil temperature at a diel and seasonal frequency (Fig. 3). For synoptic weather patterns,  $R_s$  showed a significant relationship with  $O_{WC}$  and VSM at approximately 2 day and 1–2 week periods, at Harvard Forest. At Howland,  $R_s$  showed a significant relationship to VSM at 4–5 days and  $O_{WC}$  at approximately 2 and 4–7 days. The following sections address each of these temporal scales.

#### 3.2. Seasonal scale

Air temperature and soil temperature at 4.5, 8.5, and 12 cm for the Harvard Forest and at 5, 10 and 20 cm for the Howland Forest were used to derive seasonal temperature dependence models (Eq. (1), Table 1). The figure of merit,  $\text{FMR}^2$  (defined in Section 2.7) for the Harvard Forest model fit was best with temperature measured at 4.5 and 8.5 cm depths ( $\text{FMR}^2$  of 0.71). For the Howland Forest the best fits were also with temperatures measured at 5 and 10 cm depths, and the  $\text{FMR}^2$  was 0.69. Hence, for both forests, the soil temperature in the upper organic and mineral A horizons explains a large portion of the observed seasonal variation in  $R_s$ .



**Fig. 3.** Analysis of the coherence between  $R_s$  and several environmental factors, including soil temperature and moisture conditions in the organic layer and the mineral A soil, at varying time scales. VSM, volumetric soil moisture. Solid filled symbols indicated  $>95\%$  significance. Harvard Forest data are presented in the upper panel; Howland Forest data in the lower panel.

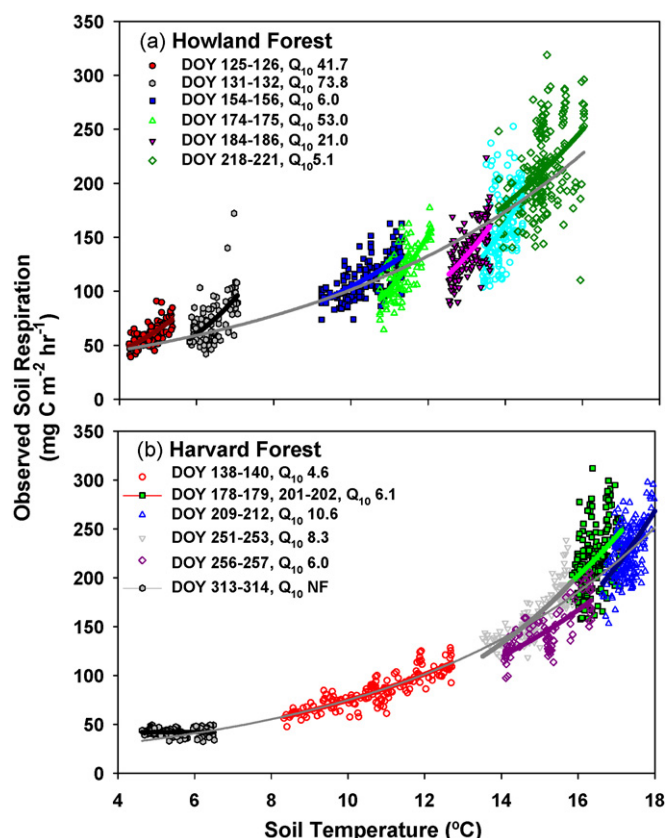
#### 3.3. Diel amplitude and pattern

The diel  $Q_{10}$  (using soil temperature at 8.5 cm for Harvard Forest and 10 cm at Howland Forest) was calculated (Eq. (1)) for periods of several days within each season that were not influenced by precipitation (either wetting up or drying down) and that contained no missing  $R_s$  measurements per day ( $n = 48$  measurements per day). The calculated diel  $Q_{10}$  values were unreasonably high (from 5 to 74) for all months at the evergreen Howland Forest (Fig. 4a) and were also high (6–11) at the deciduous Harvard Forest (Fig. 4b) during months when leaves were present. High  $Q_{10}$  values ( $>3$ ) have been interpreted as an indicator that factors other than temperature, but that covary with temperature, also contribute to temporal variation in soil respiration (Davidson et al., 2006a). The

**Table 1**

Results from fitting Eq. (1) using different soil temperature depths and air temperature. Half widths of 95% confidence intervals presented in (*italics*).  $\text{FMR}^2$  defined in Section 2.7.

	$R_{\text{ref}}$	$Q_{10}$	$\text{FMR}^2$
<b>Harvard Forest</b>			
Air temperature	109.4 (0.10)	1.8 (0.002)	0.47
Soil temperature at 4.5 cm	94.2 (0.08)	3.7 (0.006)	0.71
Soil temperature at 8.5 cm	91.3 (0.08)	4.2 (0.008)	0.71
Soil temperature at 12 cm	89.5 (0.08)	4.2 (0.006)	0.70
Soil temperature at 36 cm	90.5 (0.16)	5.6 (0.02)	0.51
<b>Howland Forest</b>			
Air temperature	98.6 (0.13)	1.6 (0.001)	0.36
Soil temperature at 5 cm	104.7 (0.06)	3.6 (0.005)	0.69
Soil temperature at 10 cm	108.7 (0.08)	4.0 (0.007)	0.69
Soil temperature at 20 cm	110.7 (0.08)	4.3 (0.01)	0.64
Soil temperature at 50 cm	127.1 (0.11)	4.1 (0.01)	0.52



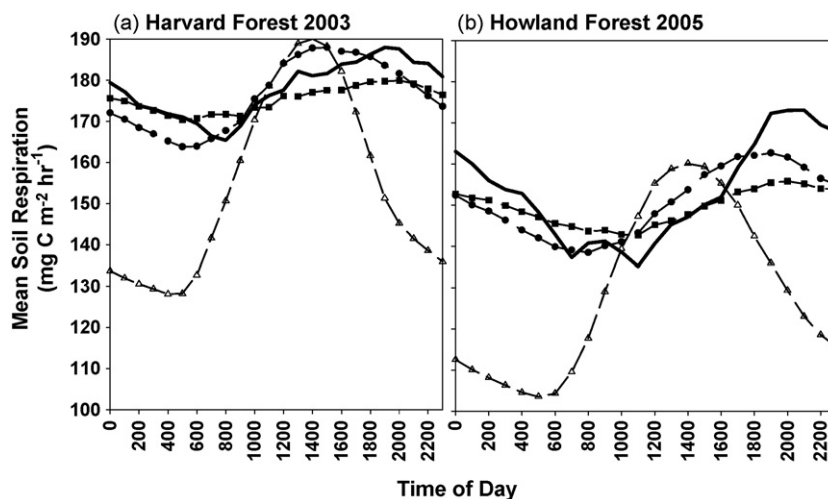
**Fig. 4.** Diel  $Q_{10}$  fits (Eq. (1)) of sections of data from Harvard and Howland Forest.  $Q_{10}$  values follow the legend symbols for day of year (DOY). All models are significant  $p < 0.0001$ . Thin dark gray line is the  $Q_{10}$  (4.5 Harvard Forest, 3.8 Howland Forest) fit using the above sets of  $R_s$  and soil temperature data.

seasonal  $Q_{10}$  of about four reported in this study (Fig. 1) suggests that the phenology of seasonal C inputs to the soil may covary with temperature. Similarly, the very high diel  $Q_{10}$  values ( $>6$ ) when a canopy was present indicate that processes other than the direct effects of varying soil temperature must be influencing the diel amplitude of  $R_s$  during seasons when photosynthesis occurs. The most likely explanation is that substrate supply for root respiration probably covaries with both seasonal and diel soil temperature

variation and contributes to the seasonal and diel amplitudes. Gaumont-Guay et al. (2006) also reported that hourly  $R_s$  peaked 3–5 h after soil temperature (measured at 2 cm depth) peaked in a boreal aspen forest, and they similarly inferred a delayed response to aboveground processes. However, in contrast to our results, they reported that diel  $Q_{10}$  values were lower than seasonal  $Q_{10}$  values, probably because soil moisture was a strong limiting factor during the middle and late parts of the growing season in their boreal forest, which dampened the observed response of  $R_s$  to diel variation in soil temperature.

Because the depth of soil temperature measurement clearly affects the calculated  $Q_{10}$ , we also derived diel temperature functions using measurements at shallower soil depths and air temperature (Table 1). We then compared predicted  $R_s$  for each temperature function with hourly average estimates of observed  $R_s$  (Fig. 5). At both sites, predicted  $R_s$  based on air temperature greatly over-predicted observed diel amplitudes, and the estimated peak preceded observed  $R_s$  peaks by several hours. The predicted  $R_s$  using the 4.5–5 cm depths and observed  $R_s$  showed similar diel amplitudes, but the estimated peak  $R_s$  preceded observed peak  $R_s$  by 3–4 h. The predicted diel amplitude of  $R_s$  from the 8.5–10 cm temperature function under-predicts observed  $R_s$  amplitude, but the peaks generally occurred at the same times. In summary, using temperatures measured at 4–5 cm depths, the correct diel amplitude can be simulated, but with the wrong timing of the peak, whereas using temperatures measured at 8–10 cm provides a better fit of the temporal pattern but under-predicts the amplitude.

Our previous estimates of  $\text{CO}_2$  production as a function of depth within the Harvard Forest soil profile (Davidson et al., 2006c) indicate that only about one-third of the  $\text{CO}_2$  production occurs in the B and C horizons, which means that deep soil  $\text{CO}_2$  production is unlikely to explain a large delayed peak in soil  $\text{CO}_2$  efflux. A more likely explanation is that soil temperature measured at 4.5–5 cm depth, which is at or below where most of the  $\text{CO}_2$  is produced, provides a good estimate of diel variation of total soil  $\text{CO}_2$  production, but that a lag of several hours is needed to account for the time necessary for photosynthate and/or a plant signal to move down the phloem to roots to affect the root respiration component of  $R_s$ . However, knowledge of probable time lags between above and belowground processes is virtually nonexistent for most plants and especially for forest ecosystems (Davidson and Holbrook, 2009; Thompson and Holbrook, 2004; Gaumont-Guay et al., 2008).



**Fig. 5.** Diel average  $R_s$ . Thick black line is observed diel average. Closed circles are predicted using soil temperature at 4.5 (Harvard) and 5.0 (Howland) cm depth. Closed squares are predicted using soil temperature at 8.5 cm (Harvard) and 10.0 cm (Howland). Open triangles are predicted using air temperature.  $Q_{10}$  values for each predicted model from Table 1.

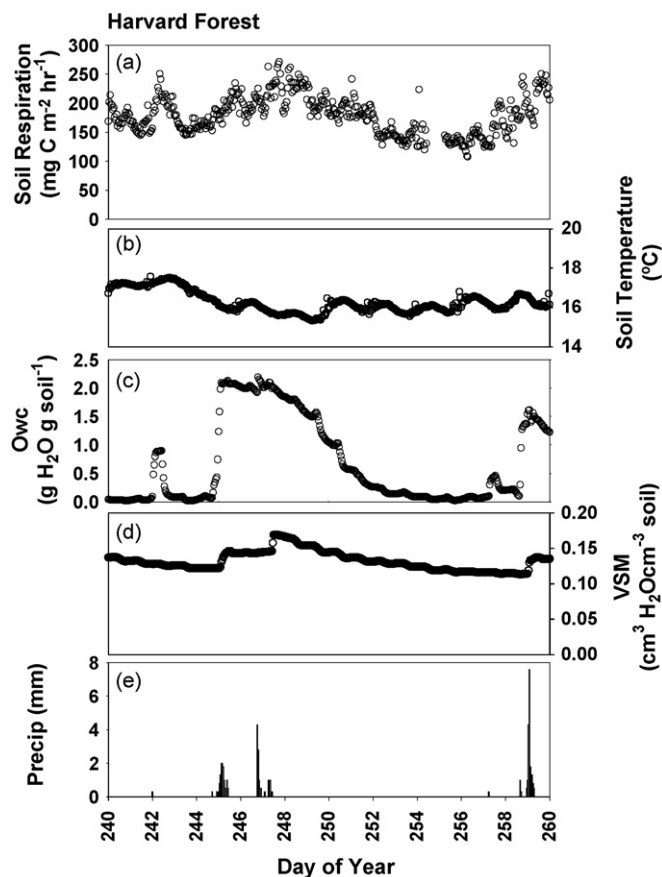


Fig. 6.  $R_s$  response to wet-up events. VSM is volumetric soil moisture.

The diel pattern of  $R_s$  also has implications for calculations of total ecosystem respiration (TER). In studies of net ecosystem exchange of  $\text{CO}_2$  using tower based eddy covariance, TER can be measured only at night, when photosynthesis is not active. To calculate daytime TER, a  $Q_{10}$  function derived from nighttime measurements of TER and air or soil temperature is often used to predict daytime TER values based on daytime air or soil temperatures. Hence, daytime TER is assumed to exceed measured nighttime TER. However, our measurements of  $R_s$  at both Harvard and Howland forests demonstrate that  $R_s$  peaked after sunset, after both air and soil temperatures begin to decline. Observed seasonal average nighttime  $R_s$  values exceeded daytime values at the Harvard Forest (nighttime mean 184, and STerr 1.7, daytime mean 181 and STerr 1.3  $\text{mg C m}^{-2} \text{ h}^{-1}$ ) and particularly at Howland (nighttime mean 164, and STerr 1.31, daytime mean 147 and STerr 0.92  $\text{mg C m}^{-2} \text{ h}^{-1}$ ). Because  $R_s$  is 60–80% of TER in these forests (Davidson et al., 2006b; Goulden et al., 1996), TER may be as high or higher after sunset than during the day and is unlikely to be accurately predicted by a  $Q_{10}$  function based on daytime air temperatures. Although the differences between night and day estimates of  $R_s$  shown here are small, they are in the opposite direction from the assumption that daytime TER should be higher than nighttime TER based on adjusting measured nighttime TER with a temperature function to estimate daytime TER. The cumulative effect of even modest over-prediction of daily daytime TER could significantly affect estimates of annual TER and gross primary productivity (Gaumont-Guay et al., 2006).

### 3.4. Synoptic scale

The coherence analysis showed a significant relationship between  $R_s$  and  $O_{WC}$  and VSM content at 2 day and 1–2 week

intervals, consistent with the movement of synoptic weather patterns across the area, causing the litter and soil layers to wet and dry.  $R_s$  was responsive to even small events that wetted only the organic layer (Fig. 6a and c Harvard). As the litter layer dried,  $R_s$  began to decrease (Fig. 6a and c). These wet-up responses to precipitation events are also evident at Howland, although they are not as dramatic as at Harvard. Pulse release of  $\text{CO}_2$  efflux during and following precipitation events has been observed in many studies (Borken et al., 2003; Xu et al., 2004; Tang et al., 2005b; Cisneros-Dozal et al., 2006). Desiccation stress causes some microbial death, which then provides readily available substrate that the remaining living microbes can efficiently and quickly utilize once it becomes mobile with increased water content, often called the Birch effect, (Birch, 1958; Bottner, 1985; Kieft et al., 1987).

To further investigate the link between wetting and drying patterns, the relationship between  $R_s$  and wet-up events was examined at Harvard. To establish a baseline  $R_s$  which is not influenced by precipitation, data were filtered for only observed  $R_s$  measured >48 h from a precipitation event. Using this “dry  $R_s$ ” dataset, a  $Q_{10}$  model of dry  $R_s$  and soil temperature at 10 cm depth ( $R_{\text{ref}} = 81.69 \text{ mg C m}^{-2} \text{ h}^{-1}$ ,  $Q_{10} = 3.6$ ) was developed. Dry  $R_s$  for DOY 137–315 estimated from this  $Q_{10}$  function were used as the baseline  $R_s$  (Fig. 7, solid black line). Visual inspection of the data both pre and post precipitation events showed that once  $O_{WC}$  content dropped below  $\sim 1 \text{ g H}_2\text{O g}^{-1}$  dry weight,  $R_s$  declined steadily. Therefore, a precipitation event was defined as the period from the beginning of rainfall until the point when  $O_{WC}$  fell below  $1 \text{ g H}_2\text{O g}^{-1}$  dry weight. Fig. 7 shows an example section of the dataset to demonstrate this method. The light gray areas represent the proportion of the flux estimated to have been stimulated by precipitation, which is the summed difference between observed  $R_s$  and the baseline dry  $R_s$ . The total  $R_s$  for the 20 measured events at the Harvard Forest was  $452 \text{ g C m}^{-2}$ . The sum of the difference between observed  $R_s$  and dry  $R_s$  for these 20 periods totaled  $55 \text{ g C m}^{-2}$ , representing an increase of 12% in  $R_s$  due to the effects of the precipitation during the measurement period ( $55 \text{ g C m}^{-2} / 452 \text{ g C m}^{-2}$ ) and 8% of the total seasonal flux ( $55 \text{ g C m}^{-2}$  total flux due to wet-up response/ $703 \text{ g C m}^{-2}$  total seasonal flux).

The increase in  $R_s$  attributed to each precipitation event was significantly correlated to the total precipitation during the events and to the change in  $O_{WC}$  from pre-wetting to the maximum  $O_{WC}$  during the event (Fig. 8). The magnitude of the response of  $R_s$  to a precipitation event was greatest when the  $O_{WC}$  was very dry prior

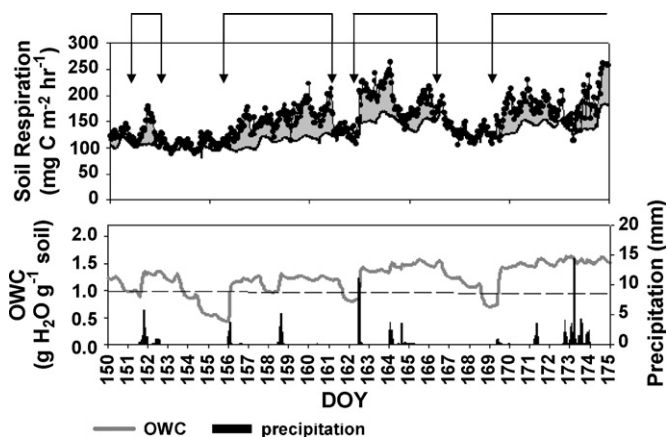
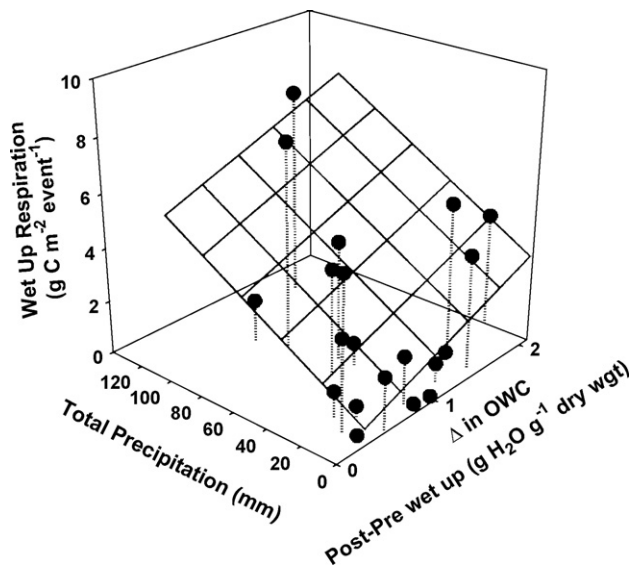


Fig. 7.  $R_s$  measurements corresponding to wet-up event. Solid circles are observed  $R_s$ , solid thick black line is predicted “dry”  $R_s$ . Gray shaded area denotes  $R_s$  response to a wet-up event. A wet-up event begins with the start of precipitation and ends when leaf litter water content dips below  $1 \text{ g H}_2\text{O g}^{-1}$  dry weight (dashed line). Arrows shows four examples of the start and end of wet-up responses.





**Fig. 8.** Relationships between the post-wetting response of  $R_s$  and the total amount of respiration and the change in water content of the organic horizon. The relationship between wet-up SR and total precipitation ( $R^2 = 0.36$ ,  $p = 0.005$ ), and wet-up SR and  $\Delta O_{WC}$  ( $R^2 = 0.12$ ,  $p = 0.07$ ). For the above fitted surface is: Wet-up  $R_s = 1524 \times \Delta \text{organic H}_2\text{O} + 39 \times P$ ,  $R^2 = 0.45$ ,  $p = 0.001$ ,  $\Delta$  in  $O_{WC}$  is defined as the difference between  $O_{WC}$  before the precipitation event and the maximum  $O_{WC}$  during the precipitation event.

to wet-up. Large precipitation events yielded a bigger pulse because they sustained elevated  $O_{WC}$  longer. Hence, total precipitation and the change in  $O_{WC}$  were found to be good predictors of the magnitude of the wet-up response.

### 3.5. Integrating empirical models at seasonal, synoptic and diel scales

Based on the identification of three important temporal scales of variation in the coherence analysis (Fig. 3), empirical models for  $R_s$  were developed using environmental variables representing processes at each temporal scale. First, the seasonal  $Q_{10}$  functions (Eq. (1)) based on soil temperature measured in the A horizon explain most of the variation in observed  $R_s$  (Table 2). This seasonal relationship with soil temperature is likely a combination of the influence of both  $R_{\text{root}}$  and  $R_{\text{micro}}$ . As soil temperatures warm from spring to summer, both microbial activity and primary productivity increase with soil temperature, and then fall off in autumn when temperatures begin to decline.

Second, because the organic water content affected  $R_s$  at synoptic scales, an equation that modified the  $R_s$  response as the organic layer water content wets and dries due to precipitation events was used (Eq. (2)). Visual inspection of the residuals from Eq. (1) in relation to  $O_{WC}$  showed maximum positive residuals at  $O_{WC}$  values of 1.5 and 1.2 g H<sub>2</sub>O g dry weight<sup>-1</sup>, for the Harvard and Howland forests, respectively, which were adopted as the values

for  $O_{WC\text{-opt}}$  in Eq. (2). The residuals generally declined at  $O_{WC}$  values above and below  $O_{WC\text{-opt}}$ , and the shape of this curve was determined by fitting the parameter  $\beta_1$  in Eq. (2). The model fit using this  $O_{WC}$  function in Eq. (2) increased  $\text{FMR}^2$  from 0.71 (Eq. (1)) to 0.76 for the Harvard Forest (Table 2). At Howland Forest, the range of  $O_{WC}$  values is smaller and the influence of  $O_{WC}$  on observed  $R_s$  is less obvious than at Harvard. Measured  $O_{WC}$  rarely exceeds the optimal water content ( $O_{WC} = 1.2$  g H<sub>2</sub>O g soil<sup>-1</sup>) at Howland Forest, and the addition of  $O_{WC}$  to the model only slightly improved model fit (Table 2). The model also fails to capture the effects of wetting events on  $R_s$  at Howland (Fig. 9b) as well as it does for Harvard (Fig. 9a). We speculate that the DC-half bridges used to estimate  $O_{WC}$  may not reflect variation in water content of the predominantly spruce and hemlock litter at Howland Forest as well as in the predominantly hardwood litter layer at Harvard Forest. The needle litter may dry and re-wet more abruptly than do the basswood pieces used in the DC-half bridges. For each forest, wetting and drying of the litter layer probably has a dominant effect on  $R_{\text{micro}}$  (Borken et al., 2006; Cisneros-Dozal et al., 2006).

Third, a sine wave function was used to amplify the diel trend in  $R_s$  beyond that predicted from half hourly soil temperature alone (Eq. (1)). We found that the daily amplitude of  $R_s$  was correlated with mean daily soil temperature:

$$\text{Da} = 10.7 \exp^{(0.15 \bar{T}_s)}, \quad R^2 = 0.51, \quad p < 0.0001$$

where Da is daily amplitude (mg C m<sup>-2</sup> h<sup>-1</sup>),  $\bar{T}_s$  mean daily soil temperature (°C)

In other words, as the daily flux of  $R_s$  increases with soil temperature, the diel amplitude of  $R_s$  also increases, but this increase in amplitude exceeds that which would be expected by the diel amplitude of hourly soil temperature. Hence, an additional predictor of daily amplitude, mean daily soil temperature ( $\bar{T}_s$ ) is needed, as shown in Eq. (3).

Adding this diel response, (Eq. (3)) decreases the cost function  $\Omega$ , and improved the model, more so for Howland than for Harvard (Table 2, Fig. 9). Some diel variation in  $R_s$  is predicted by variation in soil temperature in Eq. (1), but as discussed above, hourly variation in soil temperature alone under-predicts the diel variation. The large diel variations in  $R_s$  and the extremely high diel  $Q_{10}$  response of  $R_s$  to soil temperature (Fig. 4) during the warm season cannot be explained by a reasonable response to diel variation in soil temperature alone, suggesting a link to other process, such as allocation and lagged transport of photosynthate to roots at diel time scales. Seasonal variation in mean daily temperature is probably serving as a proxy in this case for seasonal variation in canopy processes, including mean daily NPP and subsequent belowground C allocation, thus offering a viable explanation that links canopy and belowground processes. Interestingly, the unusually high  $Q_{10}$  values derived for diel variation in  $R_s$  were not found when the canopy was absent during the leafless season of the deciduous Harvard Forest (Fig. 4).

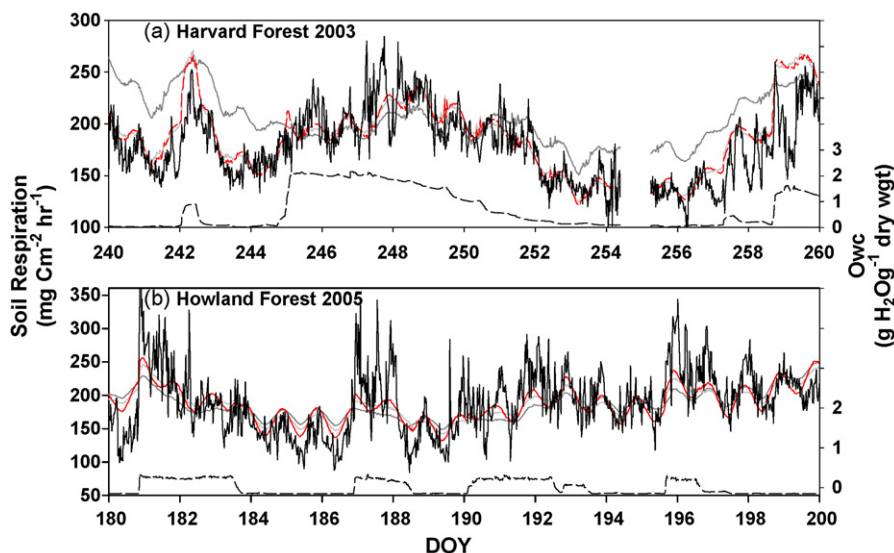
We investigated correlations between metrics of photosynthetically active radiation (PAR) and the seasonal trend of variation in

**Table 2**

Model parameters for Harvard and Howland Forest and half widths of 95% confidence intervals presented in (*italics*). Optimal  $O_{WC}$  for Harvard was set to 1.5 g H<sub>2</sub>O g dry weight<sup>-1</sup> and Howland was 1.2 g H<sub>2</sub>O g dry weight<sup>-1</sup>.  $\text{FMR}^2$  and  $\Omega$  defined in Section 2.7.

	$R_{\text{ref}}$	$Q_{10}$	$\beta_1$	$a$	$c$	$\Omega$	$\text{FMR}^2$
Harvard 2003							
Eq. (1)	91.3 (0.08)	4.2 (0.008)				13,905	0.71
Eq. (2)	97.8 (0.06)	4.2 (0.006)	0.87 (0.0006)			11,490	0.76
Eq. (3)	97.9 (0.08)	4.2 (0.006)	0.86 (0.0006)	0.32 (0.008)	2.66 (0.02)	11,396	0.76
Howland 2005							
Eq. (1)	108.7 (0.08)	4.0 (0.007)				17,047	0.69
Eq. (2)	114.4 (0.09)	4.2 (0.009)	0.41 (0.004)			16,530	0.70
Eq. (3)	115.6 (0.10)	4.2 (0.008)	0.37 (0.004)	0.79 (0.008)	2.47 (0.01)	16,036	0.71





**Fig. 9.** Sections of observed  $R_s$  with model results for (a) Harvard, (b) Howland. Black line observed soil respiration, dark light gray line Eq. (1), light gray line Eq. (2), red line Eq. (3), and dashed black line  $O_{wc}$ . (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

daily amplitude of  $R_s$ , but variation in PAR is also confounded with incidence of precipitation events. After filtering out  $R_s$  data affected by precipitation, a significant seasonal correlation between PAR and daily amplitude of  $R_s$  was not present in the remaining ( $n = 26$  for Harvard Forest and  $n = 25$  days for Howland Forest) dataset. The same problem complicated the use of eddy-covariance measurements of net ecosystem exchange (NEE) or gross primary productivity (GPP) as predictors of daily amplitude of  $R_s$ . Using GPP has the additional problem that it is a derived term that requires estimates of ecosystem respiration, of which  $R_s$  is typically about 60–80%. Hence, a correlation between GPP and  $R_s$  would only serve to reinforce that  $R_s$  is a large part of estimated GPP and could not be used to deduce that variation in estimated GPP drives variation in  $R_s$ , even though that may indeed be the case if GPP could be measured independently.

#### 4. Conclusions and future directions

A single soil temperature function has often been used as a predictor of  $R_s$  at both diel and seasonal timescales. However, diel variation in  $R_s$  exceeded predicted values based on a seasonal soil temperature function. Some of the diel variation observed in  $R_s$  may be related to diel variation in aboveground processes, possibly affecting the  $R_{root}$  contribution to  $R_s$ . It is difficult to measure changes in substrate supply, particularly at a diel time scale. However these and other results suggest that substrate supply must be addressed at multiple time scales. A key gap in our knowledge is the time required and the processes involved in allocation and transport of photosynthate belowground, which affects the timing and magnitude of variation of root respiration.

Another complex process is the response of  $R_s$  to changes in soil water content. This response has been found to covary with temperature, duration and magnitude of precipitation, and with pre-wet-up conditions, something not completely addressed in the statistical models presented here or elsewhere. Hence both the antecedent conditions and the magnitude of the event are important.

In this study, we deconstructed  $R_s$  into differing temporal frequencies and then related  $R_s$  responses at each temporal frequency to the environmental variables that covaried with  $R_s$  at that scale of interest. However, these multiple factors are difficult to include in purely statistical models. Our statistical approach provides insight into the processes most important at each

temporal scale, but future progress in modeling  $R_s$  will need to represent mechanisms for the processes that statistical models identify as important at each appropriate temporal scale.

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